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IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF OREGON  
PORTLAND DIVISION

**SUZANNE IVIE,**

Plaintiff,

vs.

**ASTRAZENECA, LP,**

Defendant.

No. 3:19-CV-01657-HZ

STIPULATED QUALIFIED PROTECTIVE  
ORDER

One or more of the parties has requested the production of documents or information that at least one party considers to be or to contain confidential information, and that are subject to protection under Federal Rule of Civil Procedure 26(c).

The parties agree that good cause exists to protect the confidential nature of the information contained in documents, interrogatory responses, responses to requests for admission, or deposition testimony. This action concerns claims of employment discrimination and whistleblower retaliation related to marketing activities of a pharmaceutical company. The parties

expect to exchange documents and information relating to personnel files, employment records and policies, comparator data, and confidential marketing and sales documents. It also appears likely that discovery may involve the disclosure of Personal Health Information (“PHI”) that may be subject to the protections of 45 C.F.R. § 164.512 (privacy regulations pursuant to the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”)), and implementing regulations issued by the U.S. Department of Health and Human Services (45 C.F.R. Parts 16-64, HIPAA Privacy Regulations), and state regulations protecting the confidentiality of individually identifiable personal and health information. The parties agree that the entry of this Stipulated Qualified Protective Order ("Protective Order") is warranted to protect against disclosure of such documents, information, and PHI.

Based upon the above stipulation of the parties, and the Court being duly advised, IT IS HEREBY ORDERED as follows:

1. All documents, testimony, and other materials produced by the parties in this case and labeled “Confidential” or “Attorneys' Eyes Only” or “PHI” shall be used only in this proceeding.
2. For purposes of this Order, PHI has the same scope and definition as set forth in 45 C.F.R. § 160.103 and § 164.105 and O.R.S. §§ 646A.602(1)(a), (b). PHI includes, but is not limited to, health information created by a covered entity (as that term is defined in 45 C.F.R. § 160.103 as well as any applicable state regulation, including demographic information, relating to either (a) the past, present, or future physical or mental condition of an individual, (b) the provision of medical or mental health care, services, or supplies to an individual or (c) the payment for medical or mental health care, services, or supplies provided to an individual, which identifies the individual or which reasonably could be expected to identify the individual.

3. All “Covered Entities” (as defined by O.R.S. § 646A.602 and 45 C.F.R. § 160.103) are hereby authorized to disclose PHI to attorneys now of record in this matter or who may become of record in the future of this litigation, including the following: the past, present, or future physical or mental health condition of the individual; the provision of medical or mental health care, services, or supplies to the individual; other health information about the individual; information about the payment for medical or mental health care, services, or supplies provided to the individual; demographic information about the individual; and other information that identifies the individual or could reasonably be expected to identify the individual.

4. Use of any information or documents labeled “Confidential” or “Attorneys' Eyes Only,” or “PHI” and subject to this Protective Order, including all information derived therefrom, shall be restricted solely to the litigation of this case and shall not be used by any party for any business, commercial, or competitive purpose. This Protective Order, however, does not restrict the disclosure or use of any information or documents lawfully obtained by the receiving party through means or sources outside of this litigation. Should a dispute arise as to any specific information or document, the burden shall be on the party claiming that such information or document was lawfully obtained through means and sources outside of this litigation.

5. The parties, and third parties subpoenaed by one of the parties, may designate as “Confidential,” “Attorneys' Eyes Only,” or “PHI” documents, testimony, written responses, or other materials produced in this case if they contain information that the producing party has a good faith basis for asserting is confidential under the applicable legal standards. The party shall designate each page of the document with a stamp identifying it as “Confidential,” “Attorneys' Eyes Only,” or “PHI” if practical to do so.

6. If portions of documents or other materials deemed “Confidential,” “Attorneys' Eyes Only,” or “PHI” or any papers containing or making reference to such materials are filed with the Court, they shall be filed under seal and marked as follows or in substantially similar form:

CONFIDENTIAL

IN ACCORDANCE WITH A PROTECTIVE ORDER, THE ENCLOSURE(S) SHALL BE TREATED AS CONFIDENTIAL AND SHALL NOT BE SHOWN TO ANY PERSON OTHER THAN THOSE PERSONS DESIGNATED IN PARAGRAPH 9 OF THE PROTECTIVE ORDER.

*or*

ATTORNEYS' EYES ONLY

IN ACCORDANCE WITH A PROTECTIVE ORDER, THE ENCLOSURE(S) SHALL BE TREATED AS FOR ATTORNEYS' EYES ONLY AND SHALL NOT BE SHOWN TO ANY PERSON OTHER THAN THOSE PERSONS DESIGNATED IN PARAGRAPH 10 OF THE PROTECTIVE ORDER.

*or*

PHI

IN ACCORDANCE WITH A PROTECTIVE ORDER, THE ENCLOSURE(S) SHALL BE TREATED AS PROTECTED HEALTH INFORMATION AND SHALL NOT BE SHOWN TO ANY PERSON OTHER THAN THOSE PERSONS DESIGNATED IN PARAGRAPH 9 OF THE PROTECTIVE ORDER.

If a party is filing a document that it has itself designated as “Confidential,” “Attorneys' Eyes Only,” or “PHI” that party shall reference this Stipulated Protective Order in submitting the documents it proposes to maintain under seal. If a non-designating party is filing a document that another party has designated as “Confidential,” “Attorneys' Eyes Only,” or “PHI” then the non-

designating party shall file the document under seal. If the non-designating party makes a request in writing to have the document unsealed and designating party does not file, within ten calendar days, a motion that shows good cause to maintain the document under seal, then the Court shall unseal the document. Before seeking to maintain the protection of documents filed with the Court, a party must assess whether redaction is a viable alternative to complete nondisclosure.

7. Within thirty (30) days after receipt of the final transcript of the deposition of any party or witness in this case, a party or the witness may designate as “Confidential,” “Attorneys' Eyes Only,” or “PHI” any portion of the transcript that the party or witness contends discloses confidential information. If a transcript containing any such material is filed with the Court, it shall be filed under seal and marked in the manner described in paragraph 6. Unless otherwise agreed, all deposition transcripts shall be treated as “Confidential” until the expiration of the thirty-day period.

8. “Confidential,” “Attorneys' Eyes Only,” or “PHI” information and documents subject to this Protective Order shall not be filed with the Court or included in whole or in part in pleadings, motions, briefs, etc., filed in this case, except when any portion(s) of such pleadings, motions, briefs, etc. have been filed under seal by counsel and marked in the same manner as described in paragraph 6 above. Such sealed portion(s) of pleadings, motions, briefs, documents, etc., shall be opened only by the Court or by personnel authorized to do so by the Court.

9. Use of any information, documents, or portions of documents marked “Confidential” or “PHI,” including all information derived therefrom, shall be restricted solely to the following persons, who agree to be bound by the terms of this Protective Order, unless additional persons are stipulated by counsel or authorized by the Court:

a. Outside counsel of record for the parties, and the administrative staff of

outside counsel's firms.

b. In-house counsel for the parties, and the administrative staff for each in-house counsel.

c. Any party to this action who is an individual, and every employee, director, officer, or manager of any party to this action who is not an individual, but only to the extent necessary to further the interest of the parties in this litigation.

d. Independent consultants or expert witnesses (including partners, associates and employees of the firm which employs such consultant or expert) retained by a party or its attorneys for purposes of this litigation, but only to the extent necessary to further the interest of the parties in this litigation.

e. The Court and its personnel, including, but not limited to, stenographic reporters regularly employed by the Court and stenographic reporters not regularly employed by the Court who are engaged by the Court or the parties during the litigation of this action,

f. The authors and the original recipients of the documents.

g. Any court reporter or videographer reporting a deposition.

h. Employees of copy services, microfilming or database services, trial support firms and/or translators who are engaged by the parties during the litigation of this action.

10. Use of any information, documents, or portions of documents marked "Attorneys' Eyes Only," including all information derived therefrom, shall be restricted solely to the persons listed in paragraphs 9(a), 9(b), 9(d), 9(e), 9(g) and 9(h), unless additional persons are stipulated by counsel or authorized by the Court.

11. Prior to being shown any documents produced by another party marked "Confidential" "Attorneys' Eyes Only," or "PHI," any person listed under paragraph 9(c) or 9(d)

shall agree to be bound by the terms of this Order by signing the agreement attached as Exhibit A.

12. Whenever information designated as “Confidential,” “Attorneys' Eyes Only,” or “PHI” pursuant to this Protective Order is to be discussed by a party or disclosed in a deposition, hearing, or pre-trial proceeding, the designating party may exclude from the room any person, other than persons designated in paragraphs 9 and 10, as appropriate, for that portion of the deposition, hearing or pre-trial proceeding.

13. Each party reserves the right to dispute the confidential status claimed by any other party or subpoenaed party in accordance with this Protective Order. If a party believes that any documents or materials have been inappropriately designated by another party or subpoenaed party, that party shall confer with counsel for the designating party. As part of that conferral, the designating party must assess whether redaction is a viable alternative to complete non-disclosure. If the parties are unable to resolve the matter informally, a party may file an appropriate motion before the Court requesting that the Court determine whether the Protective Order covers the document in dispute. Regardless of which party files the motion, the party seeking to protect a document from disclosure bears the burden of establishing good cause for why the document should not be disclosed. A party who disagrees with another party's designation must nevertheless abide by that designation until the matter is resolved by agreement of the parties or by order of the Court.

14. The inadvertent failure to designate a document, testimony, or other material as “Confidential,” “Attorneys' Eyes Only,” or “PHI” prior to disclosure shall not operate as a waiver of the party's right to later designate the document, testimony, or other material as “Confidential,” “Attorneys' Eyes Only,” or “PHI.” The receiving party or its counsel shall not disclose such documents or materials if that party or counsel knows or reasonably should know that a claim of

confidentiality would be made by the producing party. Promptly after receiving notice from the producing party of a claim of confidentiality, the receiving party or its counsel shall inform the producing party of all pertinent facts relating to the prior disclosure of the newly-designated documents or materials, and shall make reasonable efforts to retrieve such documents and materials and to prevent further disclosure.

15. Designation by either party of information or documents as “Confidential,” “Attorneys' Eyes Only,” or “PHI,” or failure to so designate, will not be constitute an admission that information or documents are or are not confidential or trade secrets. Neither party may introduce into evidence in any proceeding between the parties, other than a motion to determine whether the Protective Order covers the information or documents in dispute, the fact that the other party designated or failed to designate information or documents as “Confidential,” “Attorneys' Eyes Only,” or “PHI.”

16. Upon the request of the producing party or third party, within 30 days after the entry of a final judgment no longer subject to appeal on the merits of this case, or the execution of any agreement between the parties to resolve amicably and settle this case, the parties and any person authorized by this Protective Order to receive confidential information shall return to the producing party or third party, or destroy, all information and documents subject to this Protective Order. Returned materials shall be delivered in sealed envelopes marked “Confidential” or “PHI” to respective counsel. The party requesting the return of materials shall pay the reasonable costs of responding to its request. Notwithstanding the foregoing, counsel for a party may retain archival copies of confidential documents.

17. This Protective Order shall not constitute a waiver of any party's or non- party's right to oppose any discovery request or object to the admissibility of any document, testimony or



other information.

18. Nothing in this Protective Order shall prejudice any party from seeking amendments to expand or restrict the rights of access to and use of confidential information, or other modifications, subject to order by the Court.

19. The restrictions on disclosure and use of confidential information shall survive the conclusion of this action and this Court shall retain jurisdiction of this action after its conclusion for the purpose of enforcing the terms of this Protective Order.

20. If a disclosing party inadvertently discloses information subject to a claim of attorney-client privilege or attorney work product protection (“Disclosed Protected Information”), the disclosure of the Disclosed Protected Information shall not constitute or be deemed a waiver or forfeiture of any claim of privilege or work product protection that the disclosing party would otherwise be entitled to assert with respect to the Disclosed Protected Information and its subject matter. This Order shall be interpreted to provide the maximum protection allowed by Federal Rule of Evidence 502(d). If an inadvertent disclosure occurs, the following procedure shall be followed:

a. A disclosing party may assert in writing attorney-client privilege or work product protection with respect to Disclosed Protected Information. The receiving party shall, within five business days of receipt of that writing, return or destroy all copies of the Disclosed Protected Information and any notes made therefrom and provide a certification that all such Disclosed Protected Information and any notes made therefrom has been returned or destroyed.

b. Within five business days of the notification that Disclosed Protected Information has been returned or destroyed, the disclosing party shall produce a privilege log with respect to the Disclosed Protected Information.

- c. The receiving party may move the Court for an Order compelling production of the Disclosed Protected Information.
- d. The disclosing party retains the burden of establishing the privileged or protected nature of any Disclosed Protected Information.
- e. Nothing contained herein is intended to or shall serve to limit a party's right to conduct a review of documents, electronically stored information, or information (including metadata) for relevance, responsiveness, and/or segregation or privileged and/or protected information before production.
- f. If, at trial, at a hearing, at a deposition, or on a motion, a disclosing party marks for identification or offers into evidence Disclosed Protected Information—or proffers or elicits testimonial or other evidence that incorporates or relies on Disclosed Protected Information, including evidence within Federal Rule of Evidence 703—that act shall be deemed to effect a waiver by the disclosing party of attorney-client privilege and work product protection that would otherwise apply to undisclosed information concerning the same subject matter only if they ought in fairness to be considered together, within the meaning of the Federal Rule of Evidence 502(a). The preceding sentence notwithstanding, no waiver as to Disclosed Protected Information or undisclosed information concerning the same subject matter shall apply to: (i) proceedings to determine whether the Disclosed Protected Information is privileged, protected or subject to discovery or disclosure; or (ii) Disclosed Protected Information that is marked for identification, offered into evidence, or incorporated in evidence proffered or elicited by an adverse party, or relied on by a witness proffered by an adverse party.

So stipulated:

s/ Anita Mazumdar Chambers

Anita Mazumdar Chambers  
(admitted *Pro Hac Vice*)

s/ Anne M. Talcott

Anne M. Talcott, OSB #965325

*Counsel for Plaintiff*


*Counsel for Defendant AstraZeneca, LP*

The Court has reviewed the reasons offered in support of entry of this Stipulated Protective Order and finds that there is good cause to protect the confidential nature of certain information. Accordingly, the Court adopts the above Stipulated Protective Order in this action.

**IT IS SO ORDERED.**

DATED: \_\_\_\_\_

3/19/20

  
MARCO A. HERNÁNDEZ

United States District Judge

## EXHIBIT A

I, \_\_\_\_\_, have been advised by counsel of record for \_\_\_\_\_ in \_\_\_\_\_ of the protective order governing the delivery, publication, and disclosure of confidential documents and information produced in this litigation. I have read a copy of the protective order and agree to abide by its terms.

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Printed

\_\_\_\_\_  
Date